

Code **DNSE**
Company name Dai Nam Securities Joint Stock Company
Date 07/09/2020
Subject Resolution on the EGM 2020

Content:

Dai Nam Securities Joint Stock Company (DNSE) announces the Resolution of the Extraordinary General Meeting 2020 dated July 07, 2020 with the following contents:

1. Approving the resignation of members of BOD for the term 2020 – 2025:
 - Mr. Phi Xuan Truong: Chairman of BOD
 - Mr. Ngo Anh Son: member of BOD
 - Mr. Nguyen Ngoc Bich: member of BOD
 - Mrs. Ha Thi Thu Ha: member of BOD
 - Mrs. Tuong Thi Thu Hanh: member of BOD.
2. Approving the resignation of members of Supervisory Board for the term 2020 – 2025:
 - Mr. Nguyen Anh Tuan: Head of Supervisory Board
 - Mrs. Ninh Thi Phuong: member of Supervisory Board
 - Mr. Ninh Viet Tien: member of Supervisory Board.
3. Approving the appointment of members of BOD for the term 2020 – 2025:
 - Mr. Nguyen Hoang Giang: Chairman of BOD
 - Mr. Le Anh Tuan: Deputy chairman of BOD
 - Mr. Pham Thi Thanh Hoa: member of BOD.
4. Approving the appointment of members of Supervisory Board for the term 2020 – 2025:
 - Mrs. Pham Thi Lien: Head of Supervisory Board
 - Mr. Nguyen Quang Son: member of Supervisory Board
 - Mrs. Pham Thu Quynh: member of Supervisory Board.
5. Approving the change of address of the company’s head office at Floor 6th, Pax Sky Building, 63-65 Ngo Thi Nham, Ngo Thi Nham Ward, Hai Ba Trung District, Hanoi.
6. Approving to amend its charter.
7. Approving the transaction contract with the following companies:
 - Encapital Financial Technology Joint Stock Company
 - Encapital Holdings Joint Stock Company

8. Approving the transaction of transfer of shares of Encapital Financial Technology Joint Stock Company and Encapital Holdings Joint Stock Company:

- Shares transferred: Dai Nam Securities Joint Stock Company
- Stock type: common share, freely transferable
- List of transferors and transferees and number of shares transferred:

No.	Transferors	Owned volume (share)	Owned ratio (% charter capital)	Number of shares transferred (%)	Transfer ratio (% charter capital)	Transferee
1	Trong Dong Trading and Investment JSC	814,760	5.09%	814,760	5.09%	Encapital Holdings JSC
2	Hung Yen Investment and Agricultural Development JSC	7,097,800	44.36%	4,501,640	28.14%	Encapital Holdings JSC
	Hung Yen Investment and Agricultural Development JSC			2,596,160	16.23%	Encapital Financial Technology JSC
3	F.I.T Group	3,983,000	24.89%	3,983,000	24.89%	Encapital Financial Technology JSC
4	Chu Thanh Quan	1,176,800	7.36%	1,176,800	7.36%	Encapital Financial Technology JSC
5	Dao Thi Hai Yen	1,204,040	7.53%	1,204,040	7.53%	Encapital Financial Technology JSC
6	Le Hong Phuong	1,440,000	9.00%	1,440,000	9.00%	Encapital Financial

						Technology JSC
	Total	15,716,400	98.23%	15,716,400	98.23%	

9. Approving the selection of one of the five audit firms for the financial statements in 2020:
- VACO Auditing Com Ltd;
 - Price Waterhouse Coopers Vietnam Com Ltd;
 - Deloitte Vietnam Com Ltd;
 - Ernst & Young Vietnam Com Ltd;
 - KPMG Vietnam Com Ltd.